



Attorney Docket No. F.003

BET  
7.24.01  
#8/IDS  
GP/3737

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lawrence Xavier Webb, M.D.  
U.S. Application No.: 09/553,683  
Filing Date : April 21, 2000  
Title : Surgical Targeting System  
Examiner : D. Martin  
Group Art Unit : 3737

RECEIVED  
SEP 22 2000  
TECH 3600 MAIL ROOM

September 18, 2000  
New York, New York

Commissioner for Patents  
Washington, D.C. 20231

RECEIVED

JUL 19 2001

INFORMATION DISCLOSURE STATEMENT

TECHNOLOGY CENTER R3700

Sir:

Pursuant to 37 C.F.R. §§ 1.97, 1.98, please enter and consider for the above-identified application the documents listed on the Form PTO-1449, attached hereto as **Exhibit A**. The documents listed on the Form PTO-1449 (**Exhibit A**) are attached hereto as **Exhibits 1 to 37**, as follows:

**Exhibit 1** - U.S. Patent No. 2,344,824, issued March 21, 1944 to Landis et al.;

**Exhibit 2** - U.S. Patent No. 3,171,959, issued March 2, 1965 to Kozek et al.;

**Exhibit 3** - U.S. Patent No. 3,547,121, issued December 15, 1970 to Cherry;

**Exhibit 4** - U.S. Patent No. 4,187,423, issued February 5, 1978 to Ehrhardt;

**Exhibit 5** - U.S. Patent No. 4,181,859, issued January 1, 1980 to Vitalini;

Applicant: Lawrence Xavier Webb, M.D.  
U.S. Application. No.: 09/553,683  
Filing Date: April 21, 2000  
Page 2

Attorney Docket No. F.003

**Exhibit 6** - U.S. Patent No. 4,349,498, issued September 14, 1982 to Ellis et al.

**Exhibit 7** - U.S. Patent No. 4,506,676, issued March 26, 1985 to Duska;

**Exhibit 8** - U.S. Patent No. 4,813,062, issued March 14, 1989 to Gilpatrick;

**Exhibit 9** - U.S. Patent No. 4,838,265, issued June 13, 1989 to Cosman et al.;

**Exhibit 10** - U.S. Patent No. 4,860,331, issued August 22, 1989 to Williams et al.;

**Exhibit 11** - U.S. Patent No. 4,916,170, issued April 10, 1990 to Nambu et al.;

**Exhibit 12** - U.S. Patent No. 4,918,715, issued April 17, 1990 to Krupnick et al.;

**Exhibit 13** - U.S. Patent No. 4,985,019, issued January 15, 1991 to Michelson;

**Exhibit 14** - U.S. Patent No. 5,052,035, issued September 24, 1991 to Krupnick;

**Exhibit 15** - U.S. Patent No. 5,068,886, issued November 26, 1991 to Lavia;

**Exhibit 16** - U.S. Patent No. 5,105,457, issued April 14, 1992 to Glassman;

**Exhibit 17** - U.S. Patent No. 5,216,700, issued June 1, 1993 to Cherian;

**Exhibit 18** - U.S. Patent No. 5,239,569, issued August 24, 1993 to Saleh et al.;

**Exhibit 19** - U.S. Patent No. 5,260,985, issued November 9, 1993 to Mosby;

**Exhibit 20** - U.S. Patent No. 5,285,785, issued February 15, 1994 to Meyer;

**Exhibit 21** - U.S. Patent No. 5,306,271, issued April 26, 1994 to Zinreich et al.;

Applicant: Lawrence Xavier Webb, M.D.  
U.S. Application. No.: 09/553,683  
Filing Date: April 21, 2000  
Page 3

Attorney Docket No. F.003

**Exhibit 22** - U.S. Patent No. 5,383,234, issued January 17, 1995 to Russell;

**Exhibit 23** - U.S. Patent No. 5,394,456, issued February 28, 1995 to Livingston;

**Exhibit 24** - U.S. Patent No. 5,419,324, issued May 30, 1995 to Dillow;

**Exhibit 25** - U.S. Patent No. 5,427,099, issued June 27, 1995 to Adams;

**Exhibit 26** - U.S. Patent No. 5,444,754, issued August 22, 1995 to Wederhorn et al.;

**Exhibit 27** - U.S. Patent No. 5,476,016, issued December 19, 1995 to Fedorka-Cray et al.;

**Exhibit 28** - U.S. Patent No. 5,522,921, issued June 4, 1996 to Custer;

**Exhibit 29** - U.S. Patent No. 5,692,519, issued December 2, 1997 to Luderer et al.;

**Exhibit 30** - U.S. Patent No. 5,702,128, issued December 30, 1997 to Maxim et al.;

**Exhibit 31** - U.S. Patent No. 5,743,899, issued April 28, 1998 to Zinreich;

**Exhibit 32** - U.S. Patent No. 5,799,059, issued August 25, 1998 to Stembridge et al.;

**Exhibit 33** - U.S. Patent No. 5,908,387, issued June 1, 1999 to LeFree et al.;

**Exhibit 34** - U.S. Patent No. 6,013,035, issued January 11, 2000 to Unger et al.;

**Exhibit 35** - U.S. Patent No. 6,041,064, issued March 21, 2000 to Kurdzo et al.;

**Exhibit 36** - U.S. Patent No. 6,041,094, issued March 21, 2000 to Russell; and

**Exhibit 37** - "3M™ Ioban™ 2 Antimicrobial Incise Drapes: Clinical Use Information," 3M Health Care, 5 pages.

Applicant: Lawrence Xavier Webb, M.D.  
U.S. Application. No.: 09/553,683  
Filing Date: April 21, 2000  
Page 4

Attorney Docket No. F.003

Entry and consideration of the documents listed on the Form PTO-1449 (**Exhibit A**) are requested to be indicated by the Form PTO-1449 (**Exhibit A**) being initialed by the Examiner.

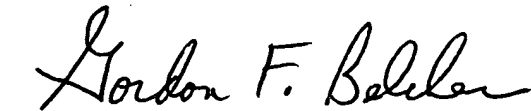
No fee is believed to be required for entry and consideration of the present INFORMATION DISCLOSURE STATEMENT since it is being submitted before the mailing date of a first Office Action for the above-identified application. 37 C.F.R. § 1.97(b)(3). However, should any fee(s) be deemed necessary, please charge such fee(s) to Deposit Account No. 08-2776 of the below-identified firm.

If the Examiner has any questions about the above, the undersigned is requested to be contacted at the below-identified telephone number. Additionally, please address all correspondence to the undersigned at the below-identified firm.

Please return the enclosed self-addressed postcard stamped by the PTO to indicate timely receipt of the attached.

Respectfully submitted,

By:



Gordon F. Belcher  
Reg. No. 33,156  
Attorney for Applicant  
HOPGOOD, CALIMAFDE,  
JUDLOWE & MONDOLINO, LLP  
60 East 42nd Street  
New York, New York 10165  
Tel. No. (212) 551-5000

Applicant: Lawrence Xavier Webb, M.D.  
U.S. Application. No.: 09/553,683  
Filing Date: April 21, 2000  
Page 5

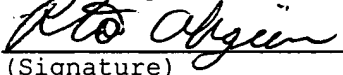
Attorney Docket No. F.003

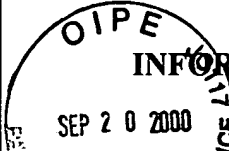
**Certificate of Mailing Under 37 C.F.R. § 1.8**

I hereby certify that this paper entitled **INFORMATION DISCLOSURE STATEMENT** and every attachment and enclosure referred to herein, including **Exhibit A** (Form PTO-1449) and **Exhibits 1 to 37** (cited references) are being deposited with the United States Postal Service as first-class mail, postage prepaid, in an envelope addressed to:

Commissioner for Patents  
Washington, D.C. 20231

on September 18, 2000  
(Date of Deposit)

Rita Akgün  
(Name of person making deposit)  
  
(Signature)

|  |  |   |
|--|--|---|
| <b>Form PTO-1449</b><br><b>U.S. Department of Commerce</b><br><b>Patent and Trademark Office</b><br> <b>INFORMATION DISCLOSURE CITATION</b><br>(Use several sheets if necessary) | <b>Atty. Docket No.</b><br><b>F.003</b>                  | <b>Application No.</b><br><b>09/553,683</b> |
|  | <b>Applicant(s)</b><br><b>Lawrence Xavier Webb, M.D.</b> |   |
|  | <b>Filing Date</b><br><b>April 21, 2000</b>              | <b>Group Art Unit</b><br><b>3737</b>        |

## U.S. PATENT DOCUMENTS

| Examiner<br>Initial | Document Number | Date     | Name                   | Class | Subclass | Filing Date<br>if Appropriate |
|---------------------|-----------------|----------|------------------------|-------|----------|-------------------------------|
|                     | 2 3 4 4 8 2 4   | 3/21/44  | Landis <i>et al.</i>   | 250   | 65       | 7/21/41                       |
|                     | 3 1 7 1 9 5 9   | 3/2/65   | Kozek <i>et al.</i>    | 250   | 53       | 8/6/62                        |
|                     | 3 5 4 7 1 2 1   | 12/15/70 | Cherry                 | 128   | 215      | 3/4/68                        |
|                     | 4 1 8 7 4 2 3   | 2/5/78   | Ehrhardt               | 250   | 312      | 3/27/78                       |
|                     | 4 1 8 1 8 5 9   | 1/1/80   | Vitalini               | 250   | 476      | 3/29/78                       |
|                     | 4 3 4 9 4 9 8   | 9/14/82  | Ellis <i>et al.</i>    | 264   | 81       | 1/16/81                       |
|                     | 4 5 0 6 6 7 6   | 3/26/85  | Duska                  | 128   | 653      | 9/10/82                       |
|                     | 4 8 1 3 0 6 2   | 3/14/89  | Gilpatrick             | 378   | 162      | 8/13/86                       |
|                     | 4 8 3 8 2 6 5   | 6/13/89  | Cosman <i>et al.</i>   | 128   | 303      | 1/21/88                       |
|                     | 4 8 6 0 3 3 1   | 8/22/89  | Williams <i>et al.</i> | 378   | 163      | 9/12/88                       |
|                     | 4 9 1 6 1 7 0   | 4/10/90  | Nambu <i>et al.</i>    | 523   | 137      | 7/27/88                       |
|                     | 4 9 1 8 7 1 5   | 4/17/90  | Krupnick <i>et al.</i> | 378   | 164      | 5/1/89                        |

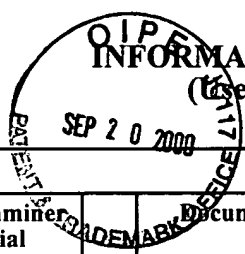
## FOREIGN PATENT DOCUMENTS

|  | Document Number | Date | Country | Class | Subclass | Translation |    |
|--|-----------------|------|---------|-------|----------|-------------|----|
|  |                 |      |         |       |          | Yes         | No |
|  |                 |      |         |       |          |             |    |
|  |                 |      |         |       |          |             |    |
|  |                 |      |         |       |          |             |    |
|  |                 |      |         |       |          |             |    |
|  |                 |      |         |       |          |             |    |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

|          |  |
|----------|--|
|          | "3M™ Ioban™ 2 Antimicrobial Incise Drapes: Clinical Use Information" |
|          | 3M Health Care, 5 pages.   |
|          |  |
|          |  |
|          |  |
|          |  |
|          |  |
| EXAMINER | DATE CONSIDERED  |

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

|   |  |  |                 |                        |   |          |                                      |    |
|---|--|--|-----------------|------------------------|---|----------|--------------------------------------|----|
| Form PTO-1449   |  | U.S. Department of Commerce<br>Patent and Trademark Office |                 |                        | Atty. Docket No.<br><b>F.003</b>                  |          | Application No.<br><b>09/553,683</b> |    |
|  <b>INFORMATION DISCLOSURE CITATION</b><br>(Use several sheets if necessary) |  |  |                 |                        | Applicant(s)<br><b>Lawrence Xavier Webb, M.D.</b> |          |                                      |    |
|   |  |  |                 |                        | Filing Date<br><b>April 21, 2000</b>              |          | Group Art Unit<br><b>3737</b>        |    |
| <b>U.S. PATENT DOCUMENTS</b>  |  |  |                 |                        |   |          |                                      |    |
| Examiner<br>Initial   |  | Document Number  | Date            | Name                   | Class   | Subclass | Filing Date<br>if Appropriate        |    |
|   |  | 4 9 8 5 0 1 9  | 1/15/91         | Michelson              | 604   | 180      | 3/11/88                              |    |
|   |  | 5 0 5 2 0 3 5  | 9/24/91         | Krupnick               | 378   | 163      | 11/2/89                              |    |
|   |  | 5 0 6 8 8 8 6  | 11/26/91        | Lavia                  | 378   | 164      | 6/28/90                              |    |
|   |  | 5 1 0 5 4 5 7  | 4/14/92         | Glassman               | 378   | 163      | 4/19/90                              |    |
|   |  | 5 2 1 6 7 0 0  | 6/1/93          | Cherian                | 378   | 163      | 2/18/92                              |    |
|   |  | 5 2 3 9 5 6 9  | 8/24/93         | Saleh <i>et al.</i>    | 378   | 163      | 9/3/91                               |    |
|   |  | 5 2 6 0 9 8 5  | 11/9/93         | Mosby                  | 378   | 164      | 8/14/92                              |    |
|   |  | 5 2 8 5 7 8 5  | 2/15/94         | Meyer                  | 128   | 653.1    | 10/23/92                             |    |
|   |  | 5 3 0 6 2 7 1  | 4/26/94         | Zinreich <i>et al.</i> | 606   | 1        | 3/9/92                               |    |
|   |  | 5 3 8 3 2 3 4  | 1/17/95         | Russell                | 378   | 164      | 9/3/93                               |    |
|   |  | 5 3 9 4 4 5 6  | 2/28/95         | Livingston             | 378   | 162      | 5/27/93                              |    |
|   |  | 5 4 1 9 3 2 4  | 5/30/95         | Dillow                 | 128   | 653.1    | 11/24/93                             |    |
| <b>FOREIGN PATENT DOCUMENTS</b>   |  |  |                 |                        |   |          |                                      |    |
|   |  | Document Number  | Date            | Country                | Class   | Subclass | Translation                          |    |
|   |  |  |                 |                        |   |          | Yes                                  | No |
|   |  |  |                 |                        |   |          |                                      |    |
|   |  |  |                 |                        |   |          |                                      |    |
|   |  |  |                 |                        |   |          |                                      |    |
|   |  |  |                 |                        |   |          |                                      |    |
|   |  |  |                 |                        |   |          |                                      |    |
| <b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>   |  |  |                 |                        |   |          |                                      |    |
|   |  |  |                 |                        |   |          |                                      |    |
|   |  |  |                 |                        |   |          |                                      |    |
|   |  |  |                 |                        |   |          |                                      |    |
|   |  |  |                 |                        |   |          |                                      |    |
| EXAMINER  |  |  | DATE CONSIDERED |                        |   |          |                                      |    |

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

RECEIVED  
 SEP 22 2000  
 MAIL ROOM

|   |  |  |                 |   |       |                                      |                            |
|---|--|--|-----------------|---|-------|--------------------------------------|----------------------------|
| Form PTO-1449   |  | U.S. Department of Commerce<br>Patent and Trademark Office |                 | Atty. Docket No.<br><b>F.003</b>                  |       | Application No.<br><b>09/553,683</b> |                            |
| <b>INFORMATION DISCLOSURE CITATION</b><br>(Use several sheets if necessary)   |  |  |                 | Applicant(s)<br><b>Lawrence Xavier Webb, M.D.</b> |       |                                      |                            |
|   |  |  |                 | Filing Date<br><b>April 21, 2000</b>              |       | Group Art Unit<br><b>3737</b>        |                            |
| <b>U.S. PATENT DOCUMENTS</b>  |  |  |                 |   |       |                                      |                            |
| Examiner Initial  |  | Document Number  | Date            | Name  | Class | Subclass                             | Filing Date if Appropriate |
|   |  | 5 4 2 7 0 9 9  | 6/27/95         | Adams   | 128   | 653.1                                | 3/17/94                    |
|   |  | 5 4 4 4 7 5 4  | 8/22/95         | Wederhorn <i>et al.</i>                           | 378   | 38                                   | 5/18/94                    |
|   |  | 5 4 7 6 0 1 6  | 12/19/95        | Fedorka-Cray <i>et al.</i>                        | 73    | 863                                  | 10/27/93                   |
|   |  | 5 5 2 2 9 2 1  | 6/4/96          | Custer  | 106   | 21 R                                 | 10/11/94                   |
|   |  | 5 6 9 2 5 1 9  | 12/2/97         | Luderer <i>et al.</i>                             | 128   | 754                                  | 2/23/95                    |
|   |  | 5 7 0 2 1 2 8  | 12/30/97        | Maxim <i>et al.</i>                               | 283   | 81                                   | 7/18/96                    |
|   |  | 5 7 4 3 8 9 9  | 4/28/98         | Zinreich  | 606   | 1                                    | 3/4/97                     |
|   |  | 5 7 9 9 0 5 9  | 8/25/98         | Stembridge <i>et al.</i>                          | 378   | 207                                  | 7/30/96                    |
|   |  | 5 9 0 8 3 8 7  | 6/1/99          | LeFree <i>et al.</i>                              | 600   | 425                                  | 6/18/97                    |
|   |  | 6 0 1 3 0 3 5  | 1/11/00         | Unger <i>et al.</i>                               | 600   | 562                                  | 1/31/97                    |
|   |  | 6 0 4 1 0 6 4  | 3/21/00         | Kurdzo <i>et al.</i>                              | 370   | 466                                  | 1/29/98                    |
|   |  | 6 0 4 1 0 9 4  | 3/21/00         | Russell   | 378   | 37                                   | 9/19/97                    |
| <b>FOREIGN PATENT DOCUMENTS</b>   |  |  |                 |   |       |                                      |                            |
|   |  | Document Number  | Date            | Country   | Class | Subclass                             | Translation                |
|   |  |  |                 |   |       |                                      | Yes No                     |
|   |  |  |                 |   |       |                                      |                            |
|   |  |  |                 |   |       |                                      |                            |
|   |  |  |                 |   |       |                                      |                            |
|   |  |  |                 |   |       |                                      |                            |
|   |  |  |                 |   |       |                                      |                            |
| <b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b> |  |  |                 |   |       |                                      |                            |
|   |  |  |                 |   |       |                                      |                            |
|   |  |  |                 |   |       |                                      |                            |
|   |  |  |                 |   |       |                                      |                            |
|   |  |  |                 |   |       |                                      |                            |
|   |  |  |                 |   |       |                                      |                            |
| EXAMINER  |  |  | DATE CONSIDERED |   |       |                                      |                            |

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

RECEIVED  
SEP 22 2000  
TE-3600 MAIL ROOM